

# FACTS

## WHAT DOES DSM CAPITAL PARTNERS LLC DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- account balances and transaction history
- credit history and credit scores

When you are *no longer* our customer, we continue to share your information as described in this notice.

### How?

All financial companies need to share **customers'** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **customers'** personal information; the reasons **DSM Capital Partners** chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information                                                                                                                                              | Does DSM Capital Partners share? | Can you limit this sharing? |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------|
| <b>For our everyday business purposes—</b><br>such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                              | No                          |
| <b>For our marketing purposes—</b><br>to offer our products and services to you                                                                                                             | Yes                              | No                          |
| <b>For joint marketing with other financial companies</b>                                                                                                                                   | Yes                              | No                          |
| <b>For our affiliates' everyday business purposes—</b><br>information about your transactions and experiences                                                                               | No                               | We don't share              |
| <b>For our affiliates' everyday business purposes—</b><br>information about your creditworthiness                                                                                           | No                               | We don't share              |
| <b>For nonaffiliates to market to you</b>                                                                                                                                                   | No                               | We don't share              |

### Questions?

Call 561-618-4000 or go to <https://www.dsmcapital.com> or email [dsm@dsmcapital.com](mailto:dsm@dsmcapital.com)

## Who we are

Who is providing this notice?

DSM Capital Partners LLC

## What we do

How does **DSM Capital Partners LLC** protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does **DSM Capital Partners LLC** collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- provide income information or provide contact information
- enter into an investment advisory contract

We also collect your personal information from other companies in connection with the provision of investment advisory services.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *DSM Capital Partners LLC has no affiliates.*

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Non affiliates we share with can include investment companies, brokers-dealers, and various service providers.*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Our joint marketing partners can include investment companies, broker-dealers and various service providers.*

## Other important information

DSM does not sell users' personal information or data. If DSM is provided your information by a third party or obtains your personal information as a result of your visit to DSM's website, you have a right to request access to or the deletion of this information, except to the extent such information must be maintained as required by law. DSM must first verify your identity in order to process any such request, typically by matching at least two (2) or three (3) pieces of personal information maintained by DSM. Please contact DSM with any questions or requests by calling 561-618-4000 or emailing [dsm@dsmcapital.com](mailto:dsm@dsmcapital.com).